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**Submission date:** 03-May-2019 11:26AM (UTC+0700)

**Submission ID:** 1102517599

**File name:** 14.\_Classification\_of\_Imbalanced\_Land-Use.pdf (271.15K)

**Word count:** 4644

**Character count:** 23294

# Classification of Imbalanced Land-Use/Land-Cover Data Using Variational Semi-Supervised Learning

Tje<sup>3</sup> Wawan Cenggoro<sup>\*†</sup>, Sani M. Isa<sup>‡</sup>, Gede Putra Kusuma<sup>‡</sup>, and Bens Pardamean<sup>†‡</sup>

<sup>\*</sup>Computer Science Department, School of Computer Science, Bina Nusantara University,  
Jakarta, Indonesia 11480

<sup>†</sup>Bioinformatics and Data Science Research Center, Bina Nusantara University,  
Jakarta, Indonesia 11480

<sup>‡</sup>Computer Science Department, BINUS Graduate Program - Master of Computer Science,  
Bina Nusantara University, Jakarta, Indonesia 11480

e-mail: wcenggoro@binus.edu, sisa@binus.edu, inegara@binus.edu, bpardamean@binus.edu

2

**Abstract**—Classification of Land Use/Land Cover (LULC) data is a typical task in remote-sensing domain. However, because the classes distribution in LULC data is naturally imbalance, it is difficult to do the classification. In this paper, we employ Variational Semi-Supervised Learning (VSSL) to solve imbalance problem in LULC of Jakarta City. This VSSL exploits the use of semi-supervised learning on deep learning model. Therefore, it is suitable for classifying data with abundant unlabeled like LULC. The result shows that VSSL achieves 80.17% of overall accuracy, outperforming other algorithms in comparison.

**Index Terms**—Variational Autoencoder, Variational Semi-Supervised Learning, Remote Sensing, Imbalanced Learning

## I. INTRODUCTION

In remote-sensing domain, classification of Land Use/Land Cover (LULC) data plays an important role. A good LULC classification can monitor changes in the use of land. For instance, we can monitor the growth of urban area in a certain time range. However, LULC data is typically difficult for classification task. The main reason for this fact is that LULC tends to be imbalance, which means that there are classes which size are much greater than other classes.

Imbalance data is known to cause a poor classification result when it is classified by standard machine learning classifier. A classifier trained on imbalance data often classifies minority class poorly. In contrast, the classifier usually has a high prediction accuracy for majority class [1]. There are various researches proposing methods to solve imbalance-data problem. These methods are called as imbalanced learning by the community.

Among the methods for imbalanced learning, methods that based on Semi-Supervised learning are promising to be applied in remote-sensing domain. Semi-Supervised learning has been studied in several research of imbalanced learning [2], [3]. The main idea of Semi-Supervised learning for imbalanced learning is to exploit unlabeled data to help classifier learn from imbalance labeled-data. This idea is well-suited for remote-sensing domain as unlabeled remote-sensing images are abundant.

In this paper, we study on how to apply Variational Semi-Supervised Learning (VSSL) [4] to solve imbalance-data prob-

lem in LULC classification of urban area. The LULC data used in this paper is collected from Jakarta City, Indonesia.

## II. RELATED WORKS

To the best of our knowledge, the work by Bruzzone & Serrico [5] is the earliest work with focus in imbalanced-learning in remote-sensing. In order to solve the imbalance-data problem, they propose method to train neural network (NN) which is able to switching cost function in certain condition. Firstly, the neural network is trained using a special cost-function for imbalanced-learning. This objective function is derived from standard Mean Square Error (MSE), and calculated as  $E = \sum_{l=1}^M \frac{1}{nM} \sum_{k=1}^M \sum_{l=1}^{n_l} [t_k^{(l)} - o_k(x_k^{(l)})]^2$ . Here,  $M$  is the total number of classes.  $t_k^{(l)}$  and  $o_k(x_k^{(l)})$  are element  $k$  of one-hot vector for true and predicted class  $l$  given input  $x_k^{(l)}$ .  $E_l$  is the error of class  $l$ . With this modification, an increase of MSE of minority classes can be avoided in early stage of training. After the MSE of each class is lower than a predetermined threshold value  $T$ , the cost is switched to standard MSE. In this work, they use remote sensing images that are captured by thematic mapper sensor attached to an aircraft. The bands used are the bands with similar wavelength range to band number 1, 2, 3, 4, 5, and 7 of Landsat.

Another study that focuses on imbalanced-learning in remote-sensing is performed by Waske et al. [6]. They propose to use bootstrap aggregating (Bagging) on an ensemble of Support Vector Machines (SVM). For the purpose of tackling the imbalance-data problem, each of the SVM is fed with a balanced sub-dataset. This sub-dataset is obtained by random downsampling from the whole dataset. The remote-sensing images used are high resolution images that are captured by SPOT satellite.

## III. MATERIALS AND METHODS

### A. Study Area

The LULC data collected in this research covers the mainland area of Jakarta City. This area span from latitude of 6°4'38" 6°22'21" and longitude of 106°40'11" to 106°59'1". Jakarta is the capital city of Indonesia, which

LULC is dominated by urban areas. Thus, the collected LULC data is imbalance.

### B. Dataset Construction

The processes flow of dataset construction in this research is illustrated in Fig. 1. The first step in this process is to obtain raw data necessary for constructing dataset. The re are two type of raw data collected in this research, remote-sensing image and labels for each pixels in the remote-sensing image. The remote-sensing image collected is downloaded from [7], which is captured by Landsat 7 satellite. The image we choose is image with entity 1 of LE71220642000258SGS00. This image is taken from WRS-2 path 122 and row 64. The date when this image is captured is September 14, 2000. Our reason for choosing this image is because the labels we are able to obtain is collected from year 2000, thus we have to use image within the year.

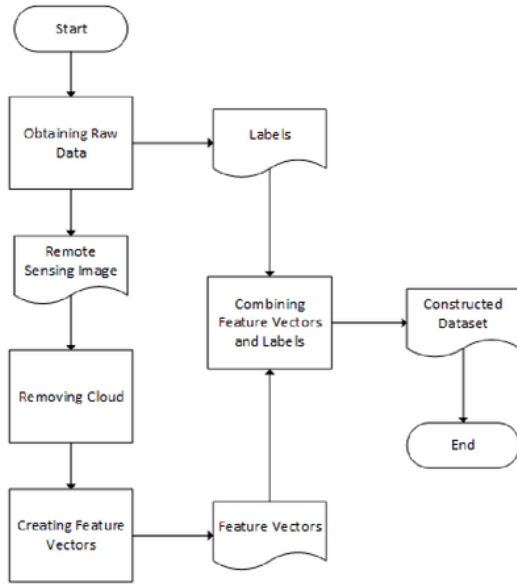


Fig. 1: Flows of Dataset Construction

For the 1 labels of the data, we obtain it on December 5, 2015 from Badan Informasi Geospasial (BIG), the Indonesian Geospatial Information Agency [8]. The labels have thirteen different classes, based on Indonesian National Standard of Land Cover Classification [9]. We decide to group the classes based on United Nation Food and Agriculture Association Land Cover Classification System (UNFAO-LCCS) [10]. The grouping is shown in Table I.

After obtaining the raw data, further process is needed for the remote-sensing before it can be combined with the labels to form desired dataset for this research. Those additional process are removing cloud and creating feature vector. The cloud removal process is necessary as the pixels that are covered by the cloud is invalid to be classified to any LULC class. The

TABLE I: LULC classes grouping

1 No.	UNFAO-LCCS Code	Class Name	BSN Class Name
1	B16	Bare Areas	Shelf
2	B15	Artificial Surfaces and Associated Areas	Residence
3	B27/ B28/ A24	Artificial/Natural Waterbodies, Snow, and Ice; Natural and Semi-Natural Aquatic or Regularly Flooded Vegetation	Lake
			Dam
			River
			Swamp
4	A11/ A23	Cultivated and Managed Terrestrial Areas; Cultivated Aquatic or Regularly Flooded Areas	Field
			Croplands
			Plantation
5	A12	Natural and Semi-Natural Vegetation	Reeds, savannas, and grasslands
			Wetland
			Dry forest
			Shrubs

cloud removal technique we used is the technique proposed by Zhu et al. [11].

After we remove all pixels covered by cloud, we form feature vectors from the remote-sensing image. This process is essential so that the dataset can be read by classifier algorithm. We choose to form the feature vectors by taking 3x3 pixels of Band number 1, 2, 3, 4, 5, and 7 of the remote-sensing image, as depicted in Fig. 2. The gray pixel in Fig. 2 represent pixel which will be attached to labels we obtained in previous process.

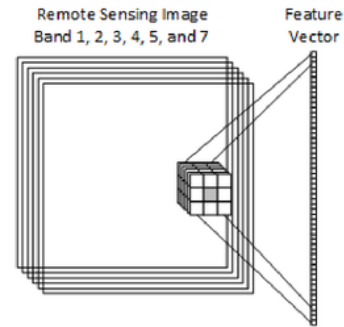


Fig. 2: Illustration of single feature vector construction

To complete the process of dataset construction, we combine the constructed feature vectors with the corresponding labels. The classes distribution of the constructed dataset is shown in Table II. We can see that the distribution of classes in labeled dataset is imbalance, with class B15 dominating the dataset.

After we obtain the labeled dataset, we split this dataset into three parts: training dataset, validation dataset, and testing dataset. The training dataset is used to train the models in our experiment. The validation dataset is used for selecting value



TABLE II: Class Distribution of labeled dataset

No.	UNFAO-LCCS Code	Class Size
1	B16	117
2	B15	436,377
3	B27/B28/A24	20,984
4	A11/A23	130,154
5	A12	110,144

of the employed VSSL model hyperparameters and also for the early stopping condition. The testing dataset is used for assessing and comparing the performance of each algorithm in our experiment. We split our dataset by ratio of 6:2:2 for the training, validation, and testing dataset respectively. In splitting the dataset, we keep the ratio of the training, validation, and testing dataset class distribution to be similar to the original dataset.

For the unlabeled dataset, we collect it from seven other Landsat 7 remote-sensing images. These images are taken from area nearby the image for labeled dataset. Table III lists images we choose for unlabeled dataset. We decide to limit the size of unlabeled data by two times of the labeled dataset size. This limitation is needed so that the VSSL model we use can run in reasonable computation time. To obtain unlabeled data with such size, we sample it randomly from the images listed in Table III.

TABLE III: Class Distribution of labeled dataset

No.	Entity ID	WRS-2 Path	WRS-2 Row	Year Captured
1	LE71170662002292DKI00	117	66	2002
2	LE71180652003142EDC00	118	65	2003
3	LE71190652000253SGS00	119	65	2000
4	LE71200652003140EDC00	120	65	2003
5	LE71210652003019SGS00	121	65	2003
6	LE71220652001356SGS00	122	65	2001
7	LE71230642002142SGS01	123	64	2002

### C. Variational Autoencoder

Variational Autoencoder (VAE) [12] is a variant of autoencoder which concept is based on variational bayesian inference. Similar to traditional autoencoder, VAE also tries to reconstruct input  $x$  by into  $\hat{x}$ . The difference of VAE from other autoencoder variants is that its latent variable  $z$  is instead produced by sampling from distribution  $p_\theta(z|x)$ . VAE generates  $\hat{x}$  from  $z$  by sampling from a distribution  $p_\theta(x;g(z)) = p_\theta(x|z)$ . Because calculating  $p_\theta(z|x)$  is intractable, VAE instead computes  $q(z|x)$  as approximation of  $p_\theta(z|x)$  using variational inference. In the view of Autoencoder, the  $q(z|x)$  and  $p_\theta(x|z)$  can be considered as encoder and decoder function respectively.

In contrast to traditional autoencoder—which can be trained by using standard objective function—VAE can be trained by using variational lower bound  $\mathcal{L}(q) = \mathbb{E}_{z \sim q(z|x)} p_\theta(z, x) + D_{KL}(q(z|x) || p_\theta(z)) \leq p_\theta(x)$ . Here,  $D_{KL}$  is Kullback-Leibler Divergence [13].

The variational lower bound is normally not differentiable due to its stochastic function  $q(z|x)$ . However, VAE cannot be trained if its objective function is not differentiable. To address this issue, Kingma and Welling [12] propose reparameterization trick to solve this problem. For instance, if  $q(z|x) = \mathcal{N}(\mu, \sigma^2)$ , reparameterization trick calculates  $z = \mu + \sigma\epsilon$  instead of sampling  $z$  from  $q(z|x)$ .  $\epsilon$  is sampled from distribution  $\mathcal{N}(I, 0)$ .  $\mu$  and  $\sigma$  are estimated using neural network. This approach allows gradient to flow through  $z$  and thus enables VAE to be trained. Figure 3 illustrates VAE process with Gaussian distribution as the distribution of  $z$ .

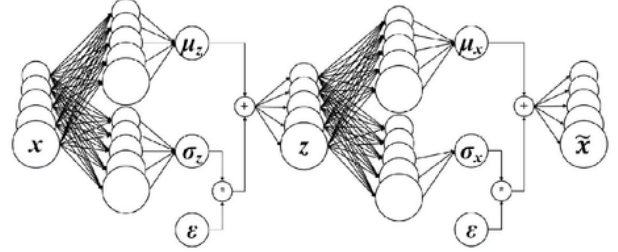


Fig. 3: Illustration of VAE using Gaussian distribution

### D. Variational Semi-Supervised Learning

Variational Semi-Supervised Learning (VSSL) is a Semi-Supervised Learning framework for deep generative model introduced by Kingma et al. [4]. VSSL is built by stacking a standard Variational Autoencoder (VAE) [12] and a modified version of VAE called as M2 VAE (The standard VAE is called as M1 VAE when VSSL is introduced).

M2 VAE is the core concept of VSSL, which is what makes VSSL is able to learn from labeled and unlabeled data. Similar to M1 VAE, M2 VAE also learns its latent variable  $z$  by reconstructing its input  $x$  to  $\hat{x}$  as shown in Figure 4. The difference is that  $x$  in M2 VAE is generated from class vector  $y$  in addition to  $z$  as in M1 VAE.  $\hat{x}$  in M2 VAE is also generated from  $y$  in addition to  $z$ . During training, the class vector  $y$  comes from the label of labeled data. For unlabeled data,  $y$  is generated from  $z$  as depicted in Figure 4. This enables VSSL to learn from both labeled and unlabeled data simultaneously. When predicting, VSSL generates label  $y$  from  $x$ .

### E. Experimental Result Analysis

To analyze result in this paper, we choose to use confusion matrices and performance measurement based on it: user's accuracy (UA), producer's accuracy (PA), overall accuracy, and kappa coefficient. We decide to use these measurements as they are widely used to measure performance in remote-sensing domain [14], [15], [16]. Presenting the results on confusion matrices also enable us to assess the balancing effect of an imbalanced-learning.

The producer's accuracy is calculated as  $P_{Ui} = x_{ii} / x_{i+}$ , where  $x_{ii}$  is the number of correctly predicted data-points made by classifier for class  $i$ ,  $x_{i+}$  is the size of class  $i$ . As for the user's accuracy, it is calculated as  $P_{Ai} = x_{ii} / x_{+i}$ . Here,

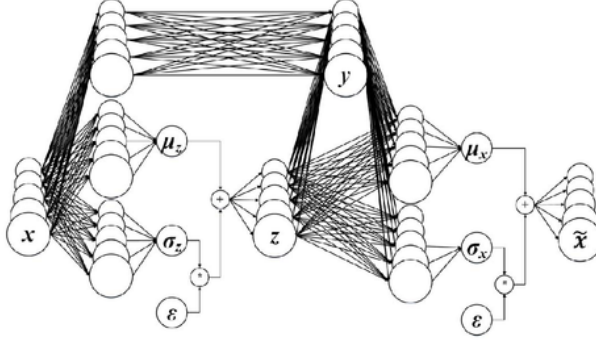


Fig. 4: Illustration of M2 VAE using Gaussian distribution

$x_{+i}$  is the number of data-points that classified as class 5. The overall accuracy is then calculated as Eq 1. Here  $x_{ij}$  is the number of data-points of class  $i$  that are predicted as class  $j$ ,  $q$  is the number of classes in dataset.

$$P_c = \frac{\sum_{i=1}^q x_{ii}}{\sum_{i=1}^q \sum_{j=1}^q x_{ij}} \quad (1)$$

For kappa coefficient, it is calculated as Eq. (2). Kappa coefficient measures the differences between observed agreement and expected agreement by matching the chances between ground-truth data and predicted data. The bigger kappa coefficient value means the better a classifier performs than random guess.

$$\hat{k} = \frac{(\sum_{i=1}^q \sum_{j=1}^q x_{ij}) \sum_{i=1}^q x_{ii} - \sum_{i=1}^q x_{i+} x_{+i}}{(\sum_{i=1}^q \sum_{j=1}^q x_{ij})^2 - \sum_{i=1}^q x_{i+} x_{+i}} \quad (2)$$

#### IV. RESULTS AND DISCUSSION

##### A. Experimental Setting

Before testing the performance of the VSSL model, we need to set several settings and hyperparameters in the model. For activation functions in each neurons in the model, we choose to use Rectified Linear Units (ReLU) [17], [18]. We choose ReLU because it allows error gradients to flow without vanishing. Thus, ReLU performs better than other activation function when applied in multilayer architecture.

For the optimization method we use in the VSSL model, we choose Adam [19]. Adam has been proven by Kingma et al. [19] to perform equal or better than other stochastic gradient descent (SGD) variant such as Adagrad [20], Adadelta [21], and SGD with Nesterov momentum [22]. We set Adam hyperparameters  $\eta = 0.001$ ,  $\beta_1 = 0.9$ , and  $\beta_2 = 0.999$ . The value of  $\eta$  is chosen as 0.001 because when we tried to use bigger  $\eta$  value for the VSSL model, all of the neurons are died (always gives 0 output). This is happens due to the nature of ReLU when applied with excessive learning rate.

To set the number of neurons of M1 and M2 VAE in the VSSL model, we run a pre-experiment accordingly. The neurons number for testing is set to 200 based on the best

result in Table IV. We use Gaussian distribution as VAE distribution function in this pre-experiment.

We also run a pre-experiment in deciding distribution function to be used in M1 and M2 VAE in the employed VSSL model. Based on the pre-experiment result in Table V, we decide to use Gaussian distribution as the VAE distribution function from the VSSL model. For this pre-experiment, we use 200 neurons in M1 and M2 VAE.

TABLE IV: Pre-experiment result on VSSL model neurons number setting

No.	#Neurons	Validation Accuracy	Validation $\hat{k}$
1	50	79.64%	0.616
2	100	79.80%	0.620
3	200	80.17%	0.625
4	300	80.11%	0.623
5	400	80.14%	0.624
6	500	80.02%	0.622

TABLE V: Pre-experiment result on VSSL model VAE distribution function setting

No.	Dist. Function	Validation Accuracy	Validation $\hat{k}$
1	Gaussian	80.17%	0.625
2	Laplace	80.06%	0.625
3	Bernoulli	78.24%	0.587

For the experiment, we choose several algorithms alongside the VSSL model to run. The result of each algorithm is then compared. In total, we selected five different algorithms, including the VSSL model, to be tested in this experiment.

The first algorithms we choose are the algorithm proposed by Bruzzone & Serpico [5]. This algorithm is chosen because it has been proven to perform well in imbalance remote-sensing data, thus are ideal to be compared in our experiment. The NN employed in this algorithm for the experiment uses one hidden layer with 200 neurons. The hidden layer is set to the same value as the employed VSSL model so that the comparison is fair. The learning rate and cost-threshold  $T$  are set to 0.1 and 0.097. The value of  $T$  is set to 0.097 because the overall accuracy error suddenly decreased significantly when all of the class error goes beyond this value when the technique applied to our dataset.

The second algorithm to use is the algorithm proposed by Waske et al. [6]. This algorithm is also chosen because it has shown a good performance on imbalance remote-sensing data. For this algorithm, we set the number of SVM instances to ten. As for the kernel of SVM instances, we choose sigmoid kernel. This kernel is chosen because it performs better than other kernel on our dataset.

The next algorithm to be compared is standard Neural Network (NN) run on the original constructed dataset and SMOTE [23] upsampled constructed dataset. We set the NN with one hidden layer and 200 neurons per hidden layers, following the setting of the VSSL model we use. For the



SMOTE algorithm we use, we set the parameter  $N$  differently based on the size of each class. This setting is done so that each of the minority class has a same size to majority class. The setting of  $N$  for class B16, B27/B28/A24, A11/A23, and A12 are 384686.57%, 2059.78%, 334.99%, and 391.62%. For the parameter  $k$ , we set it to 5.

#### B. Experimental Results and Discussion

The summary of our experiment results are shown in Table VI. From this table, we can see that VSSL achieves the best overall accuracy outperforming other algorithms. We also provide the result of each algorithm in confusion matrix. From these confusion matrices, we can see the effect of imbalance-learning in balancing the result of each class.

TABLE VI: Summary of the experiment result

No.	Algorithm	Test Accuracy	Test $\hat{\kappa}$
1	Algorithm proposed by Bruzzone & Serpico [5]	76.02%	0.524
2	Algorithm proposed by Waske et al. [6]	59.73%	0.334
3	NN trained on original constructed dataset	78.69%	0.593
4	NN trained on SMOTE oversampled dataset	74.89%	0.567
5	Variational Semi-Supervised Learning	80.17%	0.625

TABLE VII: Confusion matrix of NN trained on original constructed dataset

		Ground Truth					UA
		B16	B15	B27/ B28/ A24	A11/ A23	A12	
Classified Data	B16	0	0	0	0	0	0.00%
	B15	3	80152	426	8760	4085	85.79%
	B27/ B28/ A24	0	76	2341	406	269	75.71%
	A11/ A23	9	4370	972	13193	4293	56.22%
	A12	10	1315	432	3287	12660	71.51%
PA		0.00%	93.29%	56.13%	51.44%	57.71%	78.69%

The confusion matrices of NN trained on original constructed dataset is presented in Table VII. We can see from these tables that, without any imbalanced-learning, the most dominant class B15, has a big gap in producer's accuracy compared to other minority classes. The gap is especially sever for the smallest class B16.

When trained on SMOTE upsampled dataset, NN receives a better balance in their producer's accuracy as shown in Table VIII. Three minority classes, B16, B27/B28/A24 and A12, receive a significant performance gain in their producer's accuracy compared to the results without any imbalanced-learning. However, as a consequence, the producer's accuracy of majority class is greatly decreased from the no-imbalanced-learning results. It is also noticeable that for class A11/A23,

TABLE VIII: Confusion matrix of NN trained on SMOTE oversampled dataset

		Ground Truth					UA
		B16	B15	B27/ B28/ A24	A11/ A23	A12	
Classified Data	B16	17	5	0	14	21	29.82%
	B15	0	71398	177	4583	1893	91.48%
	B27/ B28/ A24	0	972	3302	1813	985	68.49%
	A11/ A23	2	7774	402	11576	2209	52.78%
	A12	3	5794	290	7660	16829	55.04%
PA		77.27%	83.11%	79.17%	45.14%	76.72%	74.89%

TABLE IX: Confusion matrix of algorithm proposed by Waske et al. [6]

		Ground Truth					UA
		B16	B15	B27/ B28/ A24	A11/ A23	A12	
Classified Data	B16	14	1085	13	590	152	0.76%
	B15	0	59516	516	8509	2919	82.67%
	B27/ B28/ A24	7	1454	2629	2438	2295	29.80%
	A11/ A23	1	14647	406	7299	3787	27.92%
	A12	0	9211	607	6810	12784	43.47%
PA		63.64%	69.27%	63.03%	24.86%	58.28%	59.73%

TABLE X: Confusion matrix of algorithm proposed by Bruzzone & Serpico [5]

		Ground Truth					UA
		B16	B15	B27/ B28/ A24	A11/ A23	A12	
Classified Data	B16	7	12	0	4	10	21.21%
	B15	2	82035	672	12180	4881	82.22%
	B27/ B28/ A24	0	148	1918	425	228	70.54%
	A11/ A23	13	2231	855	7712	3817	57.72%
	A12	0	1487	726	5235	13001	63.30%
PA		31.82%	95.49%	45.98%	30.07%	59.27%	76.02%

the performance gain is not significant. This fact may be caused by the similarity between class A11/A23 and A12. As explained in Table I, both class A11/A23 and A12 are vegetation LULC. The difference between these two class is only that class A11/A23 is man-made vegetation while class A12 is natural/semi-natural vegetation. We can see the effect of this similarity in Table VIII, the wrong predictions mostly go to class A12 beside majority class B15.

TABLE XI: Confusion matrix of VSSL

		Ground Truth					UA
		<b>B16</b>	<b>B15</b>	<b>B27/ B28/ A24</b>	<b>A11/ A23</b>	<b>A12</b>	
Classified Data	<b>B16</b>	12	0	0	1	2	<b>80.00%</b>
	<b>B15</b>	1	80001	362	7824	3800	<b>86.97%</b>
	<b>B27/ B28/ A24</b>	0	120	2675	481	302	<b>74.76%</b>
	<b>A11/ A23</b>	7	4364	791	14508	4192	<b>60.05%</b>
	<b>A12</b>	2	1428	343	3282	13641	<b>72.96%</b>
<b>PA</b>		<b>54.55%</b>	<b>93.12%</b>	<b>64.13%</b>	<b>54.82%</b>	<b>62.18%</b>	<b>80.17%</b>

The result produced by the algorithm proposed by Waske et al. [6] shows a similar pattern to the NN trained on SMOTE upsampled dataset. However, the producer's accuracy of all classes is generally dropped significantly compared to NN trained on SMOTE upsampled dataset. Only class B16 that still receives a good producer's accuracy. This result is presented in Table IX.

Meanwhile, the algorithm proposed by Bruzzone & Serpico [5] is able to increase producer's accuracy of class B16, B15, and A12 compared to NN trained on original constructed dataset. On contrary, the producer's accuracy of class B27/B28/A24 and A11/A23 are decreased.

Compared to other algorithms discussed so far, VSSL achieves the best overall accuracy. Not only that, VSSL is also able to balance the producer's accuracy of minority classes. This result is presented in Table XI.

#### V. CONCLUSION AND FUTURE WORKS

In this research, we study how to learn from imbalance LULC data that taken from urban area. Because of the abundance of remote-sensing image, we study on how to employ semi-supervised learning for tackling imbalance-data problem. To be specific, we use Variational Semi-Supervised Learning (VSSL) model in this research.

The result of our experiment proofs that VSSL can outperform other compared algorithm with overall accuracy of 80.17%. This accuracy is 1.48% better than the second best overall accuracy (standard NN trained on original constructed dataset). It is also 4.15% better than the best imbalanced-learning technique in comparison (algorithm proposed by Bruzzone & Serpico [5]).

For the next works, it is promising to use a model with more processing layer than the VSSL model employed in this research. Model with more layers has been known to perform better in the deep-learning community, as long as the model can escape from overfitting and vanishing gradient problem.

Another promising approach is to design a special cost function for VSSL to tackle imbalance-data problem as studied by Bruzzone & Serpico [5]. However, this approach may diminish the model capability to generalize because of its assumption that the data is imbalance.

#### ACKNOWLEDGMENT

We would like to acknowledge supports for this project from Bioinformatics and Data Science Research Center, Bina Nusantara University and NVIDIA Education Grant.

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